

# NQA-1 Introduction and Its Role in Meeting 10CFR50 Appendix B



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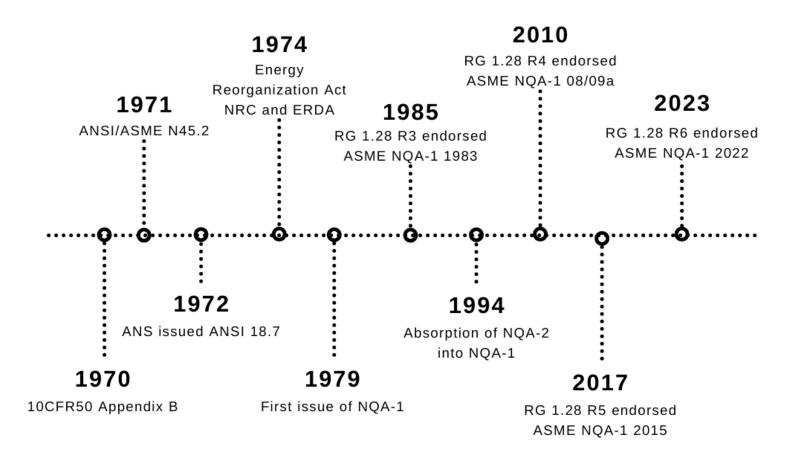
#### Introduction

"Quality is not an act. It is a habit" Aristotle

History of Nuclear Quality Assurance Reasonable Assurance and Graded Approach Quality Assurance Fundamentals NQA-1 Organization



#### **History of Nuclear Quality Assurance**



from: NQA TR-2020, "Evolution of Quality Assurance Principles and Requirements in the US Nuclear Industry"



## **Quality Program Requirements**

- 1. Organization
- 2. Quality Assurance Program
- 3. Design Control
- 4. Procurement Document Control
- 5. Instructions, Procedures, & Drawings
- 6. Document Control
- 7. Control of Purchased Items & Services
- 8. Identification and Control of Items
- 9. Control of Special Process

- 10. Inspection
- 11. Test Control
- 12. Control of Measuring & Test Equipment
- 13. Handling, Storage and Shipping
- 14. Inspection, Test, and Operating Status
- 15. Control of Nonconforming Items
- 16. Corrective Action
- 17. Quality Assurance Records
- 18. Audits



## **Quality Assurance Phases**

Design	Procurement	Manufacturing	Tests and Inspections
Req 3 – Design Control Req 10 - Inspection Req 11 – Test Control Subpart 2.7 – Software Quality Subpart 2.14 – Commercial Grade Dedication	Req 4 – Procurement Documents  Req 7 – Purchased Items and Services  Req 8 – Identification and Control of Items  Req 10 – Inspection  Subpart 2.14	Req 8 – Identification and Control of Items Req 9 – Special Processes Req 13 – Handling, Storage and Shipping Req 14 – Inspection, Test and Operating Status	Req 8 Req 10 Req 11 Req 12 – M&TE Req 15 – Nonconformances Subpart 2.14



#### Reasonable Assurance

#### 10CFR50 Appendix A Introduction

The principal design criteria establish the necessary ...requirements for structures, systems, and components important to safety;

that is, structures, systems, and components that provide

**reasonable assurance** that the facility can be operated without undue risk to the health and safety of the public.

#### **NRC Glossary**

Reasonable - Rational, sensible, or resulting from sound judgment.



# **Graded Approach using NQA-1**

Essential to safe, reliable and efficient performance

Focus on results...with the relative importance of an item or activity

#### Defined in NQA-1

- Relative importance to nuclear safety
- Magnitude and importance of any hazard Radiological or non-radiological
- Lifecycle stage
- Other relevant factors



## **Graded Approach using NQA-1**

Nonmandatory Subpart 3.1-2.1

- Hazards with the work or the results
- Consequences of malfunction or failure or inappropriate use of the results of services provided
- Probability of the occurrence of the postulated consequences
- Complexity of design and manufacturing or uniqueness
- Difficulty to perform the service
- Special controls and oversight
- Functional compliance demonstration
- Quality history and standardization
- Difficulty to repair, replacement or replication of an item or service



# **Graded Approach using NQA-1**

Graded Approach - 37 times

Relative Importance - 18 times

As Necessary - 28 times

As Needed - 4 times

As Required - 43 times

When Required - 6 times

When Necessary – 2 times

When Needed - 2 times

In a Manner Consistent – 5 times



## **ASME NQA Committee**

Standard Committee – 33 Members

Six primary subcommittees

Assessment and Verification – 26 Members

Engineering and Procurement Processes – 31 Members

Program Management Process – 25 Members

Waste Management – 17 Members

Software Quality Assurance – 18 Members

International Activities – 13 Members

Resource Development Group



#### NQA-1 - the Standard

Part I – Basic Requirements

Mirrors 10 CFR 50 Appendix B (18 criteria)

Part II – Application Requirements

Provides amplified requirements for special topics

Part III – Non-mandatory Appendices

Provides acceptable method of compliance

Part IV - Positions and Application Matrices

Guidance Positions to Implement an NQA-1 Program using different approaches

Interpretations



#### More Information about NQA



